



Sheet 1 of 1

Form PTO-1449 (modified)

Attorney Docket No.  
ARC920010006US1Serial No.  
09/771,239LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT(S)' INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant(s): LOTSPIECH et al.

Filing Date:  
01/26/01Group Art Unit:  
2132

## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class	Subclass	Filing Date
ZD	6,222,923 B1	04/24/01	Schwenk	380	44	

## PUBLICATIONS / OTHER ART

RECEIVED

SEP 18 2002

Examiner Initials	Citation
	Technology Center 2100
ZD	PUBLICATION: "Revocation and Tracing Schemes for Stateless Receivers". Naor et al. pp. 1-34. July 2001, U.S.A.
ZD	WHITE PAPER: "A Subscriber-Excluding and Traitor-Tracing Broadcast Distribution System." Yoshida et al. IEICE Trans. Fundamentals, Vol. E84-A. No. 1, January 1, 2001.

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Country	Class	Subclass	Translation Yes No
ZD	WO 99/33270	07/01/99	WIPO	H04N 7/167	H04L 9/08	Partial

Examiner

Zachary Davis

Date Considered

7/26/04

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	Filing Date: 01/26/01	Group Art Unit: Unk.



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Examiner Initials	Document No.	Date	Name	Class	Subclass	Filing Date

## PUBLICATIONS / OTHER ART

Examiner Initials	Citation
20	PUBLICATION: "Dynamic Traitor Tracing". Fiat et al. International Cryptology Conference, 19th, Santa Barbara. Proceedings of CRYPTO '99, Advances in Cryptology, LNCS, vol. 1666, pp. 354-371. August, 1999.
20	PUBLICATION: "Privilege Transfer and Revocation in a Port-Based System". Ramamritham et al. IEEE Transactions on Software Engineering. Vol. 12, Issue 5, pp. 635-48. May, 1986.

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## U.S. PATENT DOCUMENTS

Examiner Initials	Document No.	Date	Name	Class	Subclass	Filing Date
70	6,138,119	10/24/00	Hall et al.	707	9	
70	6,098,056	08/01/00	Rusnak et al.	705	75	

## PUBLICATIONS / OTHER ART

Examiner Initials	Citation
70	PUBLICATION: "Secure Group Communications Using Key Graphs". Wong et al. Proceedings of ACM SIGCOMM. Pgs 1-12. September, 1998. Canada.
70	PUBLICATION: "Efficient Communication-Storage Tradeoffs for Multicast Encryption". Canetti et al. EUROCRYPT 1999. Pgs. 459-474.
70	PUBLICATION: "Key Establishment in Large Dynamic Groups Using One-Way Function Trees". McGrew et al. Submitted to IEEE Transactions on Software Engineering. Pgs. 1-13. May, 1999.
70	PUBLICATION: "Multicast Security: A Taxonomy and Some Efficient Constructions". Canetti et al. Proc. of INFOCOM. Vol. 2, pp. 708-716. New York, March 1999.
70	PUBLICATION: "Broadcast Encryption". CRYPTO 1992, LNCS Vol. 839, pp. 257-270, New York, March 1994.

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